

Ronnie Hermens 2 March 2017



faculty of philosophy

Ronnie Hermens How ψ -ontic are ψ -ontic ontic models?

What does it mean for an ontic model to be ψ -ontic?

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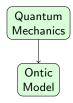
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A student bursts into the study of his professor and calls out: "Dear professor, dear professor! I have discovered a perpetual motion of the second kind!" The professor scarcely takes his eyes of his book and curtly replies: "Come back when you have found a neighborhood U of a state x_0 of such a kind that every $x \in U$ is connected with x_0 by an adiabat." – Walter

- Ontic models framework.
- **2** When is an ontic model ψ -ontic?
- **③** The Kochen-Specker Theorem.
- **9** The ontic models of Meyer, Clifton and Kent (MKC).
- **(3)** The MKC models are ψ -ontic.
- **•** The MKC models are not very ψ -ontic.

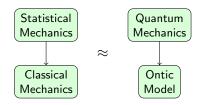
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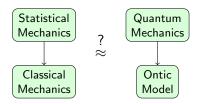
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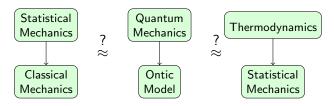
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- Do all phenomena described by SM also have a purely classical mechanical description?
- Theorems that constraint ontic models characterize the possible reducing theories for quantum mechanics.

Operational model $(\mathcal{P},\mathcal{M})$
$P\in \mathcal{P}$ is a preparation, $M\in \mathcal{M}$ is a measurement,
$\mathbb{P}(m M, P)$ probability of outcome <i>m</i> for measurement <i>M</i> performed on a system prepared according to <i>P</i> .

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Quantum mechanics as an operational model:

- \mathcal{P} Set of quantum states,
- $\mathcal M$ Set of self-adjoint operators,

$$\mathbb{P}(\boldsymbol{a}|\boldsymbol{A},|\psi\rangle) = \left\langle \psi \middle| \boldsymbol{P}_{\boldsymbol{a}}^{\boldsymbol{A}} \middle| \psi \right\rangle.$$

Ontic model (Λ, Π, Ξ)

 $\begin{array}{ll} \lambda \in \Lambda & \text{is an ontic state,} & \xi \in \Xi & \text{is a Markov kernel} \\ \mu \in \Pi & \text{is a probability measure,} & \text{from } \Lambda \text{ to } \Omega_{\xi}. \end{array}$

 $\forall (P, M)$ there exists (μ_P, ξ_M) such that

$$\mathbb{P}(m|M,P) = \int_{\Lambda} \xi_M(m|\lambda) d\mu_P(\lambda).$$

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Theorem: An ontic model always exists

"Proof":

$$\Lambda = \mathcal{P}, \ \mu_P(\{P'\}) = \delta_{PP'}, \ \xi_M(m|P) = \mathbb{P}(m|M,P).$$

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- There exists a function f : Λ → P
 f(λ) is the true quantum state of the system, (bridge law as identity relation),
- Compatibility with quantum mechanical notion of quantum states:

$$\mu_{\psi}(f^{-1}(|\psi\rangle)) = 1.$$

1 $\exists f : \Lambda \to \mathcal{P}$ such that $\forall |\psi\rangle \ \mu_{\psi}(f^{-1}(|\psi\rangle)) = 1.$

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An important step towards the derivation of our result is the idea that the quantum state is physical if distinct quantum states correspond to non-overlapping distributions for λ .

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An important step towards the derivation of our result is the idea that the quantum state is physical if distinct quantum states correspond to non-overlapping distributions for λ .

2 If $|\psi\rangle \neq |\phi\rangle$, then μ_{ψ} and μ_{ϕ} are non-overlapping.

Definition

An ontic model is ψ -ontic if for all $\ket{\psi}, \ket{\phi} \in \mathcal{P}$

$$D(\mu_{\psi},\mu_{\phi}) = \sup_{\Omega\in\Sigma} |\mu_{\psi}(\Omega) - \mu_{\phi}(\Omega)| = 1.$$

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An ontic model is *P*-ontic if for all $P, P' \in \mathcal{P}$

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- In statistical mechanics:
 - If \mathcal{P} is the set of micro-canonical ensembles, then classical mechanics is P-ontic.
 - If \mathcal{P} also contains canonical ensembles, then classical mechanics is *P*-epistemic.

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Is just the energy ontic, or also the associated micro-canonical ensemble?

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Case study: The MKC hidden variable models.

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The MKC models are constructed precisely to show the logical possibility of a **non-contextual hidden variable theory**. Allegedly, this possibility was ruled out by the Kochen-Specker theorem.

Assigning values to observables

For every non-degenerate self-adjoint operator A, there is a unique orthonormal basis

$$\mathcal{B}_A = \{ |e_1\rangle, \ldots, |e_n\rangle \}$$

such that

$$A = a_1 |e_1\rangle + \ldots + a_n |e_n\rangle$$

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For every non-degenerate self-adjoint operator A and every function f, the observables f(A) and A can be measured jointly and the outcome for f(A) is $f(a_j)$.

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For every non-degenerate self-adjoint operator A and every function f, the observables f(A) and A can be measured jointly and the outcome for f(A) is $f(a_j)$.

• The definite value assigned to *f*(*A*) is determined by the definite value assigned to *A*.

Non-contextuality of quantum states

For two non-degenerate self-adjoint operators A, B, if

$$A \ket{\psi} = a \ket{\psi}$$
 and $B \ket{\psi} = b \ket{\psi}$

then for every quantum state $|\phi
angle$

$$\mathbb{P}_{\ket{\phi}}(\textit{A}=\textit{a}) = \mathbb{P}_{\ket{\phi}}(\textit{B}=\textit{b}) = \ket{ig \phi} \psi ig ^2.$$

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then A has the value a iff B has the value b.

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For every ontic state: $|e\rangle$ is the "true" vector in an orthonormal basis \mathcal{B} iff it is the true vector in every other orthonormal basis \mathcal{B}' that contains $|e\rangle$.

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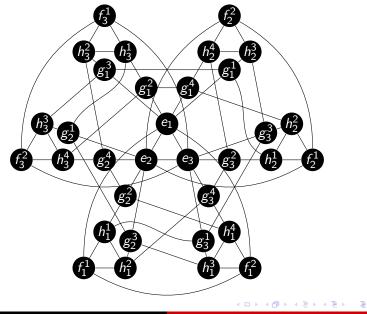
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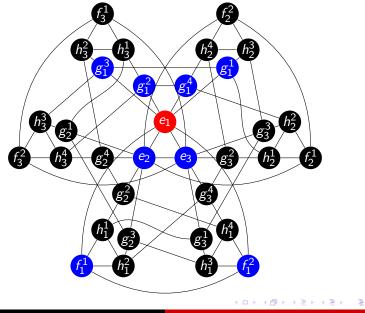
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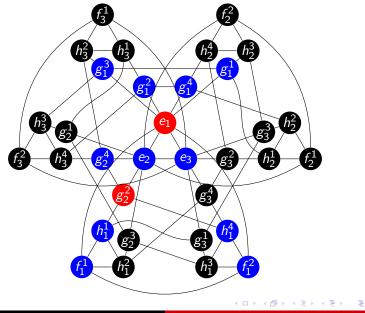
Kochen-Specker Theorem

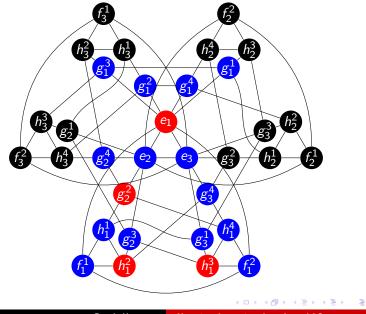
There is a finite set of orthonormal bases for which one cannot select true vectors in a non-contextual way.

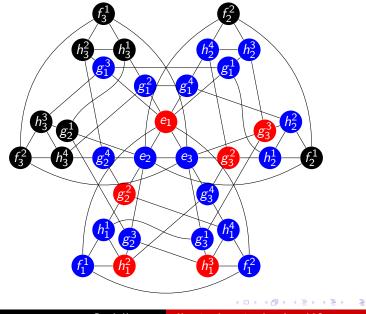
Uncolorable graph in 3 dimensions (Peres-cube)







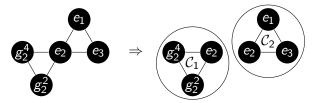




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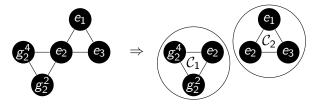
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Bell: Value assigned to an observable depends on the context ${\mathcal C}$

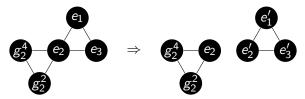


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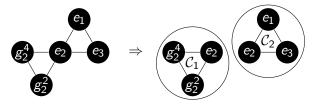
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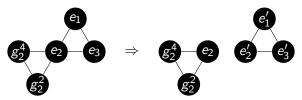
MKC: Not every orthonormal basis represents an observable



Bell: Value assigned to an observable depends on the context ${\mathcal C}$



MKC: Not every orthonormal basis represents an observable



but every orthonormal basis can be approximated by an observable

$$0<\|e_2-e_2'\|<\epsilon.$$

Definition

Two orthonormal bases

$$\mathcal{B}_{1} = \left\{ \left| e_{1}^{1} \right\rangle, \dots, \left| e_{n}^{1} \right\rangle \right\}, \ \mathcal{B}_{2} = \left\{ \left| e_{1}^{2} \right\rangle, \dots, \left| e_{n}^{2} \right\rangle \right\}$$

are totally incompatible if $orall i, j=1,\ldots,n$: $0<|\left\langle e_i^1 \middle| e_j^2
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Trivial Theorem

Let \mathfrak{B} be any set of pairwise totally incompatible orthonormal bases. The set of all self-adjoint operators with eigenvectors in one of the bases in \mathfrak{B} is colorable.

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Non-Trivial Theorem (Clifton & Kent)

There exists a countable set \mathfrak{B} of pairwise totally incompatible orthonormal bases that lies dense in the set of all orthonormal bases.

• Ontic states: $\Lambda = \{\lambda : \mathfrak{B} \to \{1, \dots, n\}\}.$

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- $\Sigma = \sigma$ -algebra generated by cylinder sets.
- \mathcal{P} determined by Born rule + independence of observables.
- $|e_i^k\rangle \in \mathcal{B}_k$:

$$\begin{split} \mathcal{C}_{\boldsymbol{e}_{i}^{k}} &:= \left\{ \lambda \in \Lambda \mid \lambda(k) = i \right\}, \\ \mu_{\psi}(\mathcal{C}_{\boldsymbol{e}_{i}^{k}}) &:= |\langle \psi | \boldsymbol{e}_{i}^{k} \rangle|^{2}. \end{split}$$

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•
$$|e_{i_1}^{k_1}\rangle \in \mathcal{B}_{k_1}$$
, $|e_{i_2}^{k_2}\rangle \in \mathcal{B}_{k_2}$, ..., $|e_{i_n}^{k_n}\rangle \in \mathcal{B}_{k_n}$:

$$egin{aligned} & \mathcal{C}_{e_{i_{1}}^{k_{1}},...,e_{i_{n}}^{k_{n}}} := igcap_{j=1}^{n} \left\{ \lambda \in \Lambda \mid \lambda(k_{j}) = i_{j}
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BCLM Theorem

Any ontic model that reproduces the predictions of QM is not maximally ψ -epistemic (but "almost" ψ -ontic).

ψ -onticness:

For all pairs of quantum states ψ, ϕ , for all corresponding probability measures $\mu \in \Delta_{\psi}, \nu \in \Delta_{\phi}$ in the ontic model, the variational distance $D(\mu, \nu) := \sup_{\Omega \in \Sigma} |\mu(\Omega) - \nu(\Omega)|$ equals 1.

What is $D(\mu_{\psi}, \mu_{\phi})$ in the MKC models?

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What is $D(\mu_{\psi}, \mu_{\phi})$ in the MKC models?

For cylinder sets:

$$\begin{split} &\mu_{\psi}(\textit{\textit{C}}_{e_{i_{1}}^{k_{1}},...,e_{i_{n}}^{k_{n}}}) := \prod_{j=1}^{n} |\langle \psi|e_{i_{j}}^{k_{j}}\rangle|^{2} \to 1, \\ &\mu_{\phi}(\textit{\textit{C}}_{e_{i_{1}}^{k_{1}},...,e_{i_{n}}^{k_{n}}}) := \prod_{j=1}^{n} |\langle \phi|e_{i_{j}}^{k_{j}}\rangle|^{2} \to 0, \end{split}$$

ψ -onticness:

For all pairs of quantum states ψ, ϕ , for all corresponding probability measures $\mu \in \Delta_{\psi}, \nu \in \Delta_{\phi}$ in the ontic model, the variational distance $D(\mu, \nu) := \sup_{\Omega \in \Sigma} |\mu(\Omega) - \nu(\Omega)|$ equals 1.

What is $D(\mu_{\psi}, \mu_{\phi})$ in the MKC models?

For cylinder sets:

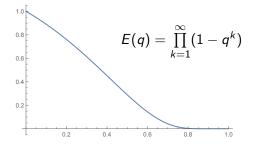
$$\begin{split} & \mu_{\psi}(C_{e_{i_{1}}^{k_{1}},\ldots,e_{i_{n}}^{k_{n}}}) := \prod_{j=1}^{n} |\langle \psi | e_{i_{j}}^{k_{j}} \rangle|^{2} \to 1, \text{ as } n \to \infty, \\ & \mu_{\phi}(C_{e_{i_{1}}^{k_{1}},\ldots,e_{i_{n}}^{k_{n}}}) := \prod_{j=1}^{n} |\langle \phi | e_{i_{j}}^{k_{j}} \rangle|^{2} \to 0, \text{ as } n \to \infty. \end{split}$$

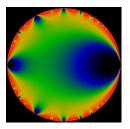
Wanted:
$$\mu_{\psi}(C_{e_{i_1}^{k_1},\ldots,e_{i_n}^{k_n}}) := \prod_{j=1}^n |\langle \psi | e_{i_j}^{k_j} \rangle|^2 \to 1,$$

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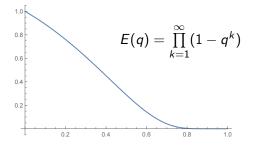
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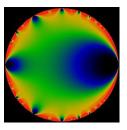




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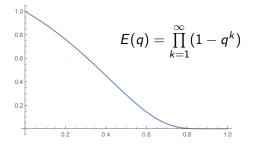


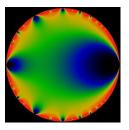


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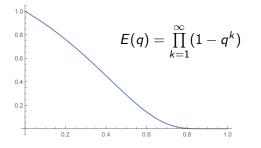


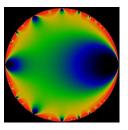


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• For every k choose $(\mathcal{B}_{n_k}, |e_{i_k}^{n_k}\rangle)$ such that $|\langle \psi | e_{i_k}^{n_k} \rangle|^2 > 1 - q_{\epsilon}^k$.

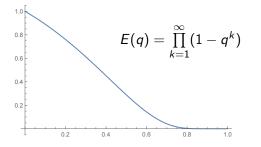
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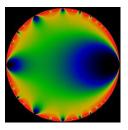




- Given $\epsilon > 0$, choose q_{ϵ} such that $E(x) > 1 \epsilon$.
- For every k choose $(\mathcal{B}_{n_k}, |e_{i_k}^{n_k}\rangle)$ such that $|\langle \psi | e_{i_k}^{n_k} \rangle|^2 > 1 q_{\epsilon}^k$.
- Set $\Lambda_{\psi}^{\epsilon} := \bigcap_{k=1}^{\infty} C_{e_{i_k}^{n_k}}$, then $\mu_{\psi}(\Lambda_{\psi}^{\epsilon}) > 1 - \epsilon$ and $\mu_{\phi}(\Lambda_{\psi}^{\epsilon}) = 0$ for all $|\phi\rangle$.

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- O There exists a function f : A → P, compatible with QM: µ_{|ψ⟩}(f⁻¹(|ψ⟩)) = 1.
- 2 If $|\psi\rangle \neq |\phi\rangle$, then μ_{ψ} and μ_{ϕ} are non-overlapping.



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 - The MKC-models are ψ -ontic in the second sense: $D(\mu_{\psi}, \mu_{\phi}) = 1.$
 - But we want the ontic state to tell us what $|\psi\rangle$ is, i.e., $\Lambda_\psi\subset\Lambda$ such that

$$\mu_{\psi}(\Lambda_{\psi}) = 1, \ \mu_{\phi}(\Lambda_{\psi}) = 0$$



• $\Lambda_{\psi}^{\epsilon}$ does not contain all the ψ -ontic states: $\mu_{\psi}(\Lambda_{\psi}^{\epsilon}) < 1$.

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- The set $\Lambda_{\psi}^{\epsilon}$ is underdetermined by (ψ, ϵ) .
- More generally: f : Λ → P need not be unique, so we cannot speak of an identity relation.